



Radar Systems Engineering Lecture 10 Part 1 Radar Clutter

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IEEE New Hampshire Section

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- Backscatter from unwanted objects
 - Ground
 - Sea
 - Rain
 - Birds and Insects





Naval Air Defense Scenario



















Radars for Which Clutter is a Issue





AEROSTAT RADAR













How to Handle Noise and Clutter





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Typical Air Surveillance Radar

(Used for Sample Calculations)



Radar Parameters

S-band Frequency (2700–2900 MHz) FAA - Airport Surveillance Radar 60 nautical miles Instrumented range **Peak power** 1.4 mw 875 W Average power **Pulse repetition** (700–1200 Hz) 1040 Hz average frequency Antenna rotation rate 12.8 rpm $4.8 \text{ m} \times 2.7 \text{ m}$ Antenna size Antenna gain 33 dB Courtesy of MIT Lincoln Laboratory

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- Motivation
- Backscatter from unwanted objects
- 🔶 Ground
 - Sea
 - Rain
 - Birds and Insects





- Introduction
- Mean backscatter
 - Frequency
 - Terrain type
 - Polarization
- Temporal statistics
- Doppler spectra





- Mean value of backscatter from ground clutter
 - Very large size relative to aircraft
 - Varies statistically Frequency, spatial resolution, geometry, terrain type
- Doppler characteristics of ground clutter return
 - Innate Doppler spread small (few knots)
 Mechanical scanning antennas add spread to clutter
 - Relative motion of radar platform affects Doppler of ground clutter
 - Ship Aircra

Aircraft





Mountainous Region of Lakehead, Ontario, Canada PPI Set for 30 nmi.



0 dB

Shrader, W. from Tutorial on MTI Radar presented at Selenia, Rome, Italy. Used with permission.

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Plan Position Indicator (PPI) Display



Map-like Display Radial distance to center Angle of radius vector Threshold crossings

Range Azimuth Detections

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Photographs of Ground Based Radar's PPI



(Different Levels of Attenuation)

Mountainous Region of Lakehead, Ontario, Canada PPI Set for 30 nmi.





Attenuation Level 0 dB

Attenuation Level 60 dB

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Photographs of Ground Based Radar's PPI

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Different Levels of Attenuation



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Joint U.S./Canada Measurement Program





- Phase One radar
 - VHF, UHF, L-, S-, X-bands
- Measurements conducted 1982 – 1984
- Archival data at Lincoln Laboratory



- 42 sites
- Data shared with Canada and the United Kingdom

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Joint U.S./Canada Measurement Program



Phase One Radar

		1	1	1	1
Frequency Band MHz)	VHF	UHF	L-Band	S-Band	X-Band
Antenna Gain (dB)	13	25	28.5	35.5	38.5
Antenna Beamwidth					
Az (deg)	13	5	3	1	1
El (deg)	42	15	10	4	4
Peak Power (kW)	10	10	10	10	10
Polarization	HH,VV	HH,VV	HH,VV	HH,VV	HH,VV
PRF (Hz)	500	500	500	500	500
Pulse Width (μs)	0.1, 0.25, and 1				
Waveform	Uncoded CW	Uncoded CW	Uncoded CW	Uncoded CW	Uncoded CW
A/D Commenter	Pulse	Pulse	Pulse	Pulse	Pulse
A/D CONVERTER	40	40	40	40	40
Sampling Rate (MHz)	13	13	13	13	13
	10, 5, 1	10, 5, 1	10, 5, 1	10, 5, 1	10, 5, 1

Radar System Parameters

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Adapted from Billingsley, Reference 2

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- The Weibull and Log Normal distributions are used to model ground clutter, because they are too parameter distributions which will allow for skewness (long tails) in the distribution of ground clutter
- For $a_w = 1$, the Weibull distribution degenerates to an Exponential distribution in power (a Rayleigh distribution in voltage)







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Mean Ground Clutter Strength vs. Frequency







- Terrain type
 - Forest
 - Urban
 - Farmland
 - Mountains
 - Farmland
 - Desert, marsh, or grassland (few discrete scatterers)
- Terrain slope:
 - High (>2°)
 - Low (<2°)
 - Moderately low (1° to 2°) Very low (<1°)
- Depression angle
 - High 1° to 2°
 Intermediate 0.3° to 1°
 - Low <0.3°



Land Clutter Backscatter vs. Terrain Type and Frequency



	Median Value of σ°F (dB)								
Terrain Type	Frequency Band								
	VHF	UHF	L-Band	S-Band	X-Band				
URBAN	-20.9	-16.0	-12.6	-10.1	-10.8				
MOUNTAINS	-7.6	-10.6	-17.5	-21.4	-21.6				
FOREST/HIGH RELIEF (Terrain Slopes > 2°) High Depression Angle (> 1°) Low Depression Angle (≤ 0.2°)	-10.5 -19.5	-16.1 -16.8	-18.2 -22.6	-23.6 -24.6	-19.9 -25.0				
FOREST/LOW RELIEF (Terrain Slopes < 2°) High Depression Angle (> 1°) Intermediate Depression Angle ($0. 4^{\circ}$ to 1°) Low Depression Angle ($\leq 0.3^{\circ}$)	-14.2 -26.2 -43.6	-15.7 -29.2 -44.1	-20.8 -28.6 -41.4	-29.3 -32.1 -38.9	-26.5 -29.7 -35.4				
AGRICULTURAL/HIGH RELIEF (Terrain Slopes $\ge 2^{\circ}$)	-32.4	-27.3	-26.9	-34.8	-28.8				
AGRICULTURAL/LOW RELIEF Moderately Low Relief (1° < Terrain Slopes < 2°) Moderately Low Relief (Terrain Slopes < 1°)	-27.5 -56.0	-30.9 -41.1	-28.1 -31.6	-32.5 -30.9	-28.4 -31.5				
DESERT, MARSH, GRASSLAND (Few Discretes) High Depression Angle (≥ 1°) Low Depression Angle (≤ 0.3°)	-38.2 -66.8	-39.4 -74.0	-39.6 -68.6	-37.9 -54.4	-25.6 -42.0				

Adapted from Billingsley, Reference 2



Statistical Attributes of X-Band Ground Clutter



Terrain	Depressi	Weibull Parameters		Mean	Percent of		
Туре	on		_		Clutter	Samples Above	Number
	Angle	a_{\ldots}	$\sigma^{o}_{\scriptscriptstyle 50}$	$\sigma^{o}_{}$	Strength	Radar Noise	Of
	(deg)	W	50	W	(dB)	Floor	Patches
	0.00-0.25	4.8	-60	-33	-32.0	36	413
	0.25-0.50	4.1	-53	-32	-30.7	46	448
Rural	0.50-0.75	3.7	-50	-32	-29.9	55	223
Low- Relief	0.75-1.00	3.4	-46	-31	-28.5	62	128
	1.00-1.25	3.2	-44	-30	-28.5	66	92
	1.25-1.50	2.8	-40	-29	-27.0	69	48
	1.50-4.00	2.2	-34	-27	-25.6	75	75
Rural/	0-1	2.7	-39	-28	-26.7	58	176
High-Relief	1-2	2.4	-35	-26	-25.9	61	107
	2-3	2.2	-32	-25	-24.1	70	44
	3-4	1.9	-29	-23	-23.3	66	31
	4-5	1.7	-26	-21	-22.2	74	16
	5-6	1.4	-25	-21	-21.5	78	9
	6-8	1.3	-22	-19	-19.1	86	8
Urban	0.00-0.25	5.6	-54	-20	-18.7	57	25
	0.25-0.70	4.3	-42	-19	-17.0	69	31
	0.70-4.00	3.3	-37	-22	-24.0	73	53

Adapted from Billingsley, Reference 2



Weibull Parameters for Ground Clutter Distributions



		$\sigma_w^o(dB)$						a _w	
Terrain Type	Depression Angle	Frequency Bands						Resolution(m ²)	
	(deg)	VHF	UHF	L-Band	S-Band	X-Band	10 ³	10 ⁶	
Rural/Low Relief									
a) General Rural	0.0 to 0.25	-33	-33	-33	-33	-33	3.8	2.5	
	0.25 to 0.75	-32	-32	-32	-32	-32	3.5	2.2	
	0.75 to 1.50	-30	-30	-30	-30	-30	3.0	1.8	
	1.50 to 4.00	-27	-27	-27	-27	-27	2.7	1.6	
	> 4.00	-25	-25	-25	-25	-25	2.6	1.5	
b) Forest	0.00 to 0.30	-45	-42	-40	-39	-37	3.2	1.8	
	0.30 to 1.00	-30	-30	-30	-30	-30	2.7	1.6	
	> 1.00	-15	-19	-22	-24	-26	2.0	1.3	
c) Farmland	0.00 to 0.40	- 51	-39	-30	-30	-30	5.4	2.8	
	0.40 to 0.75	-30	-30	-30	-30	-30	4.0	2.6	
	0.75 to 1.50	-30	-30	-30	-30	-30	3.3	2.4	
d)Desert, marsh,	0.00 to 0.25	-68	-74	-68	-51	-42	3.8	1.8	
or grassland	0.25 to 0.75	-56	-58	-46	-41	-36	2.7	1.6	
(few discretes)	> 0.75	-38	-4	-40	-38	-26	2.0	1.3	
Rural/High Relief									
a) Rural	0 to 2	-27	-27	-27	-27	-27	2.2	1.4	
	2 to 4	-24	-24	-24	-24	-24	1.8	1.3	
	4 to 6	-21	-21	-21	-21	-21	1.6	1.2	
	>6	-19	-19	-19	-19	-19	1.5	1.1	
Forest	Any	-15	-19	-22	-22	-22	1.8	1.3	
Mountains	Any	-8	-11	-18	-20	-20	2.8	1.6	
Urban									
a) General urban	0.0 to 0.25	-20	-20	-20	-20	-20	4.3	2.8	
-, -	0.25 to 0.75	-20	-20	-20	-20	-20	3.7	2.4	
	>0.75	-20	-20	-20	-20	-20	3.0	2.0	
b)Urban,	0.00 to 0.25	-32	-24	-15	-10	-10	4.3	2.8	
observed on									
open terrain)									
Neg. Depression									
Angle									
a) All except	0.0 to 0.25	-31	-31	-31	-31	-31	3.4	2.0	
mountains &	0.25 to 0.75	-27	-27	-27	-27	-27	3.3	1.9	
forest	>0.75	-26	-26	-26	-26	-26	2.3	1.7	

Adapted from Billingsley, Reference 2







Radar System Parameters

Frequency Band (MHz)	L-Band (1230)
Antenna Gain (dB)	32
Antenna Beamwidth Az (deg) El (deg)	6 3
Peak Power (kW)	8
Polarization	HH, VV, HV, VH
PRF (Hz)	500
Pulse Width (µs)	1
Waveform	Uncoded CW Pulse
A/D Converter Number of Bits Sampling Rate (MHz)	14 2

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• Total spectral power density $P_{tot}(v)$ from a cell containing windblown vegetation North Dakota Cropland (Wheat)





Measured Power Spectra of L-Band Radar Returns from Forest





Curves are hand drawn lines through data in Billingsley Reference 2

Adapted from Billingsley, Reference 2



Modeled Rates of Exponential Decay in the Tails of L-Band Spectra from Wind-Blown Trees





- Exponential decay model agrees very well with measured data
 - X-Band to L-band
 - Variety of wind conditions
 Light thru heavy wind
 - Over wide dynamic range
 > 50 dB
- Previously used Gaussian and power law models break down at wide dynamic ranges
- Model parameter β empirically developed from measured data

$$\beta^{-1} = 0.105 [\log_{10} w + 0.4147]$$
Velocity of wind
(statute miles per hour) Adapted from
Billingsley, Reference 2

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Estimated Ground Clutter at Medium Depression Angles (~3 to 70°)



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- σ_0 can be large near vertical incidence
- In this angle regime the reflected energy is due to backscatter from small flat surfaces on the ground
- The total backscatter is the sum of contributions from the different depression angles within the antenna's beam width
 - For vertical incidence, σ_0 measured is $< \sigma_0$ at exactly 90°
 - Antenna Gain
- For an ideal smooth reflecting surface, $\sigma_{_{0}} \approx G \checkmark$
 - This is a better approximation for smooth sea than typically more rough land (lower for land)
 - σ_0 generally > 1 and > than resolution cell size) (see Reference 6)

Ground Clutter Spectrum Spread Due to Mechanical Scanning of Antenna

- Backscatter from ground modulated by varying gain of antenna pattern as beam scans by ground clutter
- Ground clutters Doppler spread: 1.3° = 0.023 radians

 $\sigma_{\rm clutter} = \frac{1}{3.78\,\theta_{\rm m}}$

 σ_{clutter}

0.265

 $\Omega =$ Antenna rotation rate (Hz)

- $\theta_{\rm B} = {
 m Antenna \ beamwidth} \ ({
 m radians})$
 - **n** = Number of pulses in 3 dB antenna beamwidth
- T = Time between radar pulses (sec)
- For FAA Airport Surveillance Radar (S-Band, λ = 10 cm):

 Ω = 12.7 RPM, 76.2°/sec n = 22 $\theta_{\rm B}$ =1.3° T = 0.8 msec. $\sigma_{\rm c}$ \approx 15 Hz









- Motivation
- Backscatter from unwanted objects
 - Ground



- Rain
- Birds and Insects





- Mean cross section of sea clutter depends on many variables
 - Radar frequency
 - Wind and weather
 Sea State
 - Grazing angle
 - Radar Polarization
 - Range resolution
 - Cross range resolution
- Sea clutter is characterized by σ^{0}
 - Radar cross section per unit area ⁶

Sea Clutter $\sigma = \sigma^{o} A$ Area Illuminated Radar Cross Section $\sigma = \sigma^{o} A$ by Radar Beam

Mean sea backscatter is about 100 times less than ground backscatter





World Meteorological Organization Sea State Classification



<u>Sea Stat</u> e	<u>Wave Height (m)</u>	Wind Velocity (knots)	Descriptive Term
0 to 1	0 to 0.1	0 to 6	Calm, Rippled
2	0.1 to 0.5	7 to 10	Smooth, Wavelets
3	0.6 to 1.2	11 to 16	Slight to Moderate
4	1.2 to 2.4	17 to 21	Moderate to Rough
5	2.4 to 4	22 to 27	Very Rough
6	4 to 6	28 to 47	High



Courtesy of NOAA





- Environmental parameters
 - Wave height
 - Wind speed
 - The length of time and distance (Fetch) over which the wind has been blowing
 - Direction of the waves relative to the radar beam
 - Whether the sea is building up or decreasing
 - The presence of swell as well as sea waves
 - The presence of contaminants that might affect the surface tension
- Radar parameters
 - Frequency
 - Polarization
 - Grazing angle
 - Range and cross range resolution
- The data has "A curse of dimensionality"
 - The sea backscatter depends on a large number of variables

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Adapted from Nathanson, Reference 3





- Models compiled from experimental data
 - Upwind, downwind, and crosswind data averaged over
 - Adjusted from incidence/depression angle to grazing angle
 - Median values adjusted to mean values
 - Monostatic radar data; 0.5–5.9 μs pulse; Rayleigh distributions
- Original data set (1968), 25 references
- Present data set (1991), about 60 references
- Grazing angles: -0.1°, 0.3°, 1.0°, 3.0°, 10.0°, 30.0°, 60.0°

Adapted from Nathanson, Reference 3



Normalized Mean Sea Backscatter Coefficient σ_0 (dB below 1 m²/m²)



		Grazir	ng Ang	jle = 1	0			
		UHF	L	S	С	Χ	Ku	Ka/W
Sea State Po	<u>larization</u>	<u>0.5 GHz</u>	1 <u>.25</u>	<u>3.0</u>	<u>5.6</u>	<u>9.3</u>	<u>17</u>	35/95
0	V		68*			60*	60*	60*
	Н	86*	80*	75*	70*	60*	60*	60*
1	V	70*	65*	56	53	50	50	48*
	н	84*	73*	66	56	51	48	48*
2	V	63*	58*	53	47	44	42	40*
	н	82*	65*	55	48	46	41	38*
3	V	58*	54*	48	43	39	37	34
	н	73*	60*	48	43	40	37	36
4	V	58*	45	42	39	37	35	32
	н	63*	56*	45	39	36	34	34*
5	V		43	38	35	33	34	31
	н	60*	50*	42	36	34	34	
6	V			33		31*	32	
	н			41		32*	32	
	* 5-	dB error r	not unlik	kely		Adapted fi	rom Nath	anson, Reference 3
Data Collections and Analyses by NRL underscore this note (See Reference 2, page 15-10)								





- Sea Clutter is independent of polarization and frequency for grazing angles greater than ~45°
- In general, backscatter from the sea is less using horizontal polarization than vertical polarization
- For low grazing angles and horizontal polarization, the sea clutter backscatter increases as the wavelength is increased

Adapted from Skolnik, Reference 6





- The distributions for sea echo are between Rayleigh and log normal
 - Log of sea backscatter is normally distributed
- Generally, sea echo for HH polarization deviates from Rayleigh more than it does for VV polarization
- For a cell dimension less than about 50 m, sea waves are resolved; the echo is clearly non-Rayleigh
- The distributions depend on sea state. The echo usually becomes more Rayleigh-like for the higher seas.
- For small cells and small grazing angles, sea clutter is approximately log normal for horizontal polarization

Adapted from Skolnik, Reference 6





Sea clutter has a mean Doppler velocity and spread

- Velocity of waves relative to radar (ship)
 Wind speed and direction
- Sea state
- Sea "spikes"
 - Low grazing angles
 - Short radar pulse widths













Sea Clutter



Effects of the Wind and Waves

- σ^{o} increases with increases in wind speed and wave height except at near-vertical incidence
- Wind speed and wave height, and wind direction and wave direction are not always highly correlated.
- At small grazing angles, $\sigma^{\rm o}$ is highly sensitive to wave height
- At centimeter wavelengths, σ^{o} is highly sensitive to wind speed at the small and intermediate grazing angles
- σ^{o} is greatest looking into the wind and waves.
 - For small grazing angles, the upwind/downwind ratio is often as much as 5 dB and values of 10 dB have been reported

Adapted from Skolnik, Reference 6





- Sea clutter has a mean Doppler velocity and spread
 - Velocity of waves relative to radar (ship)
 Wind speed and direction
 - Sea state
- ➡●● Sea "spikes"
 - Low grazing angles
 - Short radar pulse widths







•Grazing angle 1.5 deg. •Horizontal polarization

- At low grazing angles, sharp sea clutter peaks, known as "sea spikes", begin to appear
- These sea spikes can cause excessive false detections

From Lewis and Olin, NRL



Sea Clutter Distributions (Low Grazing Angles)









- Mean backscatter from sea is about 100 times less than that of ground
 - Amplitude of backscatter depends on Sea State and a number of other factors

Radar wavelength, grazing angle, polarization, etc.

- The platform motion of ship based radars and the motion of the sea due to wind give sea clutter a mean Doppler velocity
- Sea spikes can cause a false target problem
 - Occur at low grazing angles and moderate to high wind speeds